



959-2014 ADDENDUM 3

REQUEST FOR PROPOSALS FOR A CAPITAL MARKETS ADVISOR FOR THE CITY OF WINNPEG'S CAPITAL INTEGRATION PROJECT(SOUTHWEST TRANSITWAY

URGENT

PLEASE FORWARD THIS DOCUMENT TO WHOEVER IS IN POSSESSION OF THE REQUEST FOR PROPOSAL

ISSUED: January 2, 2015
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THIS ADDENDUM SHALL BE INCORPORATED INTO THE REQUEST FOR PROPOSAL AND SHALL FORM A PART OF THE CONTRACT DOCUMENTS

Template Version: Ar20131129

Please note the following and attached changes, corrections, additions, deletions, information and/or instructions in connection with the Request for Proposal, and be governed accordingly. Failure to acknowledge receipt of this Addendum in Paragraph 9 of Form A: Proposal may render your Proposal non-responsive.

PART B – BIDDING PROCEDURES

Revise B2.1 to read:

B2.1 The Submission Deadline is 4:00 p.m. Winnipeg time, **January 9, 2015.**

Add: B15.2.1 Notwithstanding B15.2, organizations whose divisions or affiliates (as defined in the *Corporations Act* (Manitoba)) are identified as potentially providing financing to a Proponent for the P3 Project will be required to provide evidence, satisfactory to the City, that they have, or will have, an appropriate ethical wall in place to properly manage, mitigate and minimize the potential, or perception of a potential, conflict of interest or possibility of transfer of confidential or influential information being transmitted between the relevant divisions/affiliates.

B15.2.2 The ethical wall should include, but not be limited to the following measures:

- a) No shared staff, including administrative staff, between relevant divisions/affiliates;
- b) No shared office space between individuals in the relevant divisions/affiliates;
- c) No information relative to the P3 Project, or the Capital Markets Advisor contract or services delivered or correspondence, including e-mail, issued under such contract, residing on shared servers (i.e. accessible to both divisions/affiliates in question).

B15.2.3 Bidders unable to provide evidence of an appropriate ethical wall in accordance with B15.2.1 and B15.2.2 may be determined to be non-responsive.